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06-28-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 112047-0035

First Inventor Nir N. Shavit et al.

Title Globally Distributed Load Balancing

Express Mail Label No. EL705603829US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 30]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 5]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ /  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert C



el here)

or ☐ Correspondence address below

Name	Joseph H. Born				
Address	Cesari and McKenna 88 Black Falcon Avenue				
City	Boston	State	MA	Zip Code	02210
Country	U. S. A.	Telephone	(617) 951-2500	Fax	(617) 951-3927

Name (Print/Type)	Joseph H. Born	Registration No. (Attorney/Agent)	28,283
Signature			Date
			June 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

## Complete If Known

Application Number	Not Yet Assigned
Filing Date	June 27, 2001
First Named Inventor	Nir N. Shavit et al.
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	112047-0035

TOTAL AMOUNT OF PAYMENT (\$ ) 1,360

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 03-1237

Deposit Account Name Cesari and McKenna, LLP

- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. §§1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
105	130 205	65 Fee Surcharge - late filing fee or oath	
127	50 227	25 Surcharge - late provisional filing fee or cover sheet	
139	130 139	130 Non-English Specification	
147	2,520 147	2,520 For filing a request for reexamination	
112	920 112	920* Requesting publication of SIR prior to Examiner action	
113	1,840 113	1,840* Requesting publication of SIR after Examiner action	
115	110 215	55 Extension for reply within first month	
116	390 216	195 Extension for reply within second month	
117	890 217	445 Extension for reply within third month	
118	1,390 218	695 Extension for reply within fourth month	
128	1,890 128	945 Extension for reply within fifth month	
119	310 219	155 Notice of Appeal	
120	310 220	155 Filing a brief in support of an appeal	
121	270 221	135 Request for oral hearing	
138	1,510 138	1,510 Petition to institute a public use proceeding	
140	110 240	55 Petition to revive - unavoidable	
141	1,240 241	620 Petition to revive - unintentional	
142	1,240 242	620 Utility Issue fee (or reissue)	
143	440 243	220 Design Issue fee	
144	600 244	300 Plant Issue fee	
122	130 122	130 Petitions to the Commissioner	
123	50 123	50 Petitions related to provisional applications	
126	240 126	240 Submission of Information Disclosure Stmt	
581	40 581	40 Recording each patent assignment per property (times number of properties)	40
146	710 246	355 Filing a submission after final rejection (37 CFR 1.129(a))	
149	710 249	355 For each additional invention to be examined (37 CFR 1.129(b))	
Other (specify)			
Other fee (specify)			
SUBTOTAL (3)			40

\*Reduced by Basic Filing Fee Paid

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
101	710 201	35 Utility filing fee	710
106	320 206	160 Design filing fee	
107	490 207	245 Plant filing fee	
108	710 208	355 Reissue filing fee	
114	150 214	75 Provisional filing fee	
SUBTOTAL (1)			710

#### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
45	5		25	18	450
			2	80	160
					0

\*\*or number previously paid, if greater; For Reissues, see below

#### Large Entity Small Entity

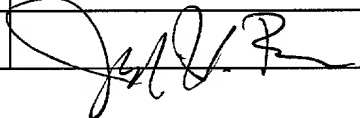
Fee Code	Fee Code	Fee Description	Fee Paid
(\$)	(\$)		
103	18 203	9 Claims in excess of 20	
102	80 202	40 Independent claims in excess of 3	
104	270 204	135 Multiple dependent claim, if not paid	
109	80 209	40 **Reissue independent claims over original patent	
110	18 210	9 **Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			610

### SUBMITTED BY

Typed or Printed Name

Joseph H. Born

Signature



Date

June 27, 2001

### Complete (if applicable)

Reg. Number

28,283

Deposit Account User ID

In Re The Application of:  
Nir N. Shavit et al.

Serial No.: Not Yet Assigned

Filed: June 27, 2001

For: Globally Distributed Load Balancing

Art Unit: Not Yet Assigned

### **EXPRESS-MAIL DEPOSIT**

The following papers are being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

<u>X</u> Patent Application ( 30 pages including 45 claims )	<u>X</u> Fee Transmittal Form
<u>X</u> Declaration	<u>X</u> Assignment With Recordation Form
<u>X</u> Utility Patent Application Transmittal Form	Cover Sheet
<u>X</u> Formal Drawings ( 9 sheets )	<u>X</u> Check in the amount of \$1,360.00
<u>X</u> Information Disclosure Statement	<u>X</u> PTO-1449 and Copies of References